BUILDING TECHNOLOGY AND URBAN SYSTEMS/WINDOWS AND ENVELOPE RESEARCH

## **Electrochromic Windows**



## National Labs Make Dynamically Tinting Windows a Reality

### Out of the Lab, Into to the Marketplace

Department of Energy (DOE) laboratories are instrumental in the development of new energy-efficient technologies. In fact, if DOE's 17 national labs were not able to marshal their considerable resources on behalf of industry and absorb the risk inherent in early-phase scientific research, many technologies would simply not exist. Electrochromic (EC) windows are one such technology. Decades of research and innovation by Lawrence Berkeley National Laboratory (LBNL) and National Renewable Energy Laboratory (NREL) have made dynamically tinting "smart windows" a global reality. Lab advances in material science have been the catalyst for new EC window technologies, and lab support from developing innovative window applications to conducting field studies—has enabled those technologies to evolve and deploy commercially. This multi-dimensional approach to scientific inquiry ensures that research finds its way out of the lab and into the marketplace.

#### MATERIAL SCIENCE

#### ADVANCES IN MATERIAL SCIENCE CATALYZE INDUSTRY

DOE labs have a long history of leadership in material science research. With an extensive physical and organizational infrastructure, they provide unique multidisciplinary scientific capabilities that are beyond the scope of most academic and commercial institutions. Since the 1980s, national labs have fostered five dynamic glass companies, SAGE Electrochromics and View Dynamic Glass among them.

#### WINDOW APPLICATIONS INNOVATION FOR THE BUILT ENVIRONMENT

Lab researchers have been instrumental in developing practical applications for EC technology. From creating thin films and testing control strategies to developing scalable manufacturing processes and Active Standard Test Method (ASTM) durability standards—the story of national lab participation in the development of EC windows is the story of innovation.

#### ENERGY PERFORMANCE MEASURING PERFORMANCE DEMONSTRATES VALUE

Objective energy performance data encourages the adoption of new building-envelope technologies. DOE labs have conducted extensive laboratory testing and field studies to measure the performance of EC windows. Simulation tools developed by the labs accurately predict performance and help guide designers, specifiers and building owners in selecting EC windows.

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HUMAN FACTORS

#### ASSESSING HUMAN FACTORS IN BUILDINGS

Property owners are hesitant to embrace new technologies without knowing how occupants will respond to them. Largescale DOE lab field studies have demonstrated widespread user acceptance of dynamic windows, encouraging their adoption in everything from office buildings to airports.

## Key Lab Contributions to Electrochromic Technology



A multi-dimensional approach to research enables EC technology to evolve and deploy commercially

100+ PAPERS PUBLISHED BETWEEN 1973-2018

| 1973 | 1st paper on tungsten oxide EC (future NREL researcher)      | -• |    |
|------|--|----|----|
| 1980 | Thin film EC for windows (LBNL)                              |    | -• |
| 1984 | Characteristics of tungsten oxide film for EC windows (NREL) | •  | -• |
| 1985 | Nickel oxide film properties (LBNL)                          | -• |    |
| 1986 | Vapor deposition of tungsten oxide EC (NREL)                 | •  |    |
| 1989 | Application of EC to large windows (LBNL)                    |    | -• |
| 1990 | Evaluation criteria & test methods (NREL/LBNL)               |    |    |
| 1992 | Modeling of economic benefits (LBNL)                         |    |    |
| 1992 | Scalable manufacturing process for tungsten oxide (LBNL)     |    | -• |
| 1994 | Impact of EC switching strategies (LBNL)                     |    | -• |
| 1996 | Visual impact of EC (LBNL)                                   |    |    |
| 1998 | ASTM standard for durability (NREL)                          |    | -• |
| 1999 | Method for accelerated durability testing (NREL)             |    | -• |
| 1999 | 1st US field study (LBNL)                                    |    | •  |
| 1999 | Thermal performance of EC skylights (LBNL)                   |    |    |
| 2003 | Full-scale laboratory testing of EC prototypes (LBNL)        |    | •  |
| 2004 | R&D 100 award for metal hydride reflective EC (LBNL)         | •  |    |
| 2009 | R&D 100 award for reflective EC technology (NREL)            | •  |    |
| 2011 | Near-infrared EC coating (LBNL)                              | •  |    |
| 2012 | 1st field study in occupied commercial space (LBNL/NREL)     |    | •  |
| 2015 | Patent for ternary nickel oxide EC coating (NREL)            | •  |    |
| 2018 | Large-scale field demonstrations (LBNL)                      |    | •  |
|      |  |    |    |

MATERIAL SCIENCE WINDOW APPLICATIONS ENERGY PERFORMANCE HUMAN FACTORS

# Lab advances in material science launch industry

**SAGE Electrochromics,** funded by DOE, begins development in 1990 of an all-solidstate, inorganic EC window based on NREL guidance. First EC windows with tungsten oxide thin film ship in 2006.

View Glass licenses metal hydride EC in 2007; switches to tungsten oxide EC for production e-Chromic Tech. licenses reflective EC in 2009

Heliotrope, an LBNL spin-off, forms in 2012 to commercialize near-IR EC

SAGE Electrochromics licenses ternary nickel oxide EC in 2016